

LATL:CS:REG40:2020-21

Date: 11.05.2020

BSE Limited
Listing Compliance Department
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001

The National Stock Exchange of India Limited
Listing Compliance Department
Exchange Plaza, C-1 Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051

Company Code : 532796

Company Code : LUMAXTECH

Subject: Submission of Certificate in terms of Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Ref.: Certificate issued by Practicing Company Secretary under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Ma'am,

Please find enclosed herewith the Certificate received from Mr. Maneesh Gupta, Practicing Company Secretary, New Delhi as required under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31st March, 2020.

You are requested to kindly take the same in your records.

Thanking you,

Yours faithfully,
For **LUMAX AUTO TECHNOLOGIES LIMITED**

Sd/-
COMPANY SECRETARY
M.NO. A-16825
CONTACT NO. +91-9811330570

Encl.: as stated above

Note: Due to outbreak of Corona Virus (COVID-19) in the Country and in compliance of Government of India orders and restrictions of movement of people, Officials of the Company are working from home, therefore signing of this submission is being submitted as Sd/-.

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED MARCH 31, 2020

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated June 8, 2018 and its press release on December 3, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f., April 1, 2019. Further, SEBI vide its press release dated March 27, 2019, has clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Lumax Auto Technologies Limited ("the Company") maintained by Bigshare Services Private Limited, Registrar and Transfer Agent ("RTA") of the Company pertaining to transfer of equity shares of the Company for the period from October 1, 2019 to March 31, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/ RTA, I hereby certify that the Company has delivered during half year ended on March 31, 2020:

- A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period October 1, 2019 to March 31, 2020 as entered in the Memorandum of Transfers have been issued within one month from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of duplicate, split, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies have been issued within one month of lodgment provided all required documents are in order.

Signature: 

Name : Maneesh Gupta
CP No : 2945

Date: May 11, 2020
Place: New Delhi

UDIN: F004982B000222419